

4-17-01
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ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

P

W-02181A WATER
PINE VALLEY WATER COMPANY
480 RAINTREE RD
SEDONA AZ ~~86336-0000~~
86351-7258

ANNUAL REPORT

FOR YEAR ENDING

12	31	2000
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FOR COMMISSION USE

ANNO4	00
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COMPANY INFORMATION

Company Name (Business Name) <u>PINE VALLEY WATER CO.</u>		
Mailing Address <u>480 RAINTREE RD</u> (Street)		
<u>SEDONA</u> (City)	<u>AZ</u> (State)	<u>86351</u> (Zip)
<u>520/284-1819</u> Telephone No. (Include Area Code)	<u>520/284-9311</u> Fax No. (Include Area Code)	 Pager/Cell No. (Include Area Code)
Email Address <u>PNJLEI@AOL.COM</u>		
Local Office Mailing Address <u>SAME</u> (Street)		
 (City)	 (State)	 (Zip)
 Local Office Telephone No. (Include Area Code)	 Fax No. (Include Area Code)	 Pager/Cell No. (Include Area Code)
Email Address 		

MANAGEMENT INFORMATION

Management Contact: <u>JUDY MANDEVILLE</u> (Name)			<u>PRESIDENT</u> (Title)
<u>480 RAINTREE RD</u> (Street)	<u>SEDONA</u> (City)	<u>AZ</u> (State)	<u>86351</u> (Zip)
<u>520/284-1819</u> Telephone No. (Include Area Code)	<u>520/284-9311</u> Fax No. (Include Area Code)	 Pager/Cell No. (Include Area Code)	
Email Address <u>PNJLEI@AOL.COM</u>			
On Site Manager: <u>PETE MANDEVILLE</u> (Name)			
<u>480 RAINTREE RD</u> (Street)	<u>SEDONA</u> (City)	<u>AZ</u> (State)	<u>86351</u> (Zip)
<u>520/284-1819</u> Telephone No. (Include Area Code)	<u>520/284-9311</u> Fax No. (Include Area Code)	 Pager/Cell No. (Include Area Code)	
Email Address <u>PNJLEI@AOL.COM</u>			

Statutory Agent: PETE MANDEVILLE
(Name)

480 RAINTREE RD SEDONA AZ 86351
(Street) (City) (State) (Zip)

520/284-1819 520/284-9311
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Attorney: _____
(Name)

(Street) (City) (State) (Zip)

Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

OWNERSHIP INFORMATION

Check the following box that applies to your company:

- | | |
|---|--|
| <input type="checkbox"/> Sole Proprietor (S) | <input checked="" type="checkbox"/> C Corporation (C) (Other than Association/Co-op) |
| <input type="checkbox"/> Partnership (P) | <input type="checkbox"/> Subchapter S Corporation (Z) |
| <input type="checkbox"/> Bankruptcy (B) | <input type="checkbox"/> Association/Co op (A) |
| <input type="checkbox"/> Receivership (R) | <input type="checkbox"/> Limited Liability Company |
| <input type="checkbox"/> Other (Describe) _____ | |

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

- | | | |
|-------------------------------------|---|-----------------------------------|
| <input type="checkbox"/> APACHE | <input type="checkbox"/> COCHISE | <input type="checkbox"/> COCONINO |
| <input type="checkbox"/> GILA | <input type="checkbox"/> GRAHAM | <input type="checkbox"/> GREENLEE |
| <input type="checkbox"/> LA PAZ | <input type="checkbox"/> MARICOPA | <input type="checkbox"/> MOHAVE |
| <input type="checkbox"/> NAVAJO | <input type="checkbox"/> PIMA | <input type="checkbox"/> PINAL |
| <input type="checkbox"/> SANTA CRUZ | <input checked="" type="checkbox"/> YAVAPAI | <input type="checkbox"/> YUMA |
| <input type="checkbox"/> STATEWIDE | | |

COMPANY NAME PINE VALLEY WATER CO

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization	4,298	4,298	— 0 —
302	Franchises			75 ⁰⁰
303	Land and Land Rights	11,994	— 0 —	11,994
304	Structures and Improvements	9,361	1,854	7,507
307	Wells and Springs	9,872	6,574	3,298
311	Pumping Equipment	50,995	46,967	4,028
320	Water Treatment Equipment	N/A	N/A	N/A
330	Distribution Reservoirs and Standpipes	14,857	4,457	10,400
331	Transmission and Distribution Mains	54,957	32,869	22,088
333	Services	20,669	5,454	15,215
334	Meters and Meter Installations	6,454	5,837	617
335	Hydrants	4,759	2,682	2,077
336	Backflow Prevention Devices	N/A	N/A	N/A
339	Other Plant and Misc. Equipment	N/A	N/A	N/A
340	Office Furniture and Equipment	644	258	386
341	Transportation Equipment	↑	↑	↑
343	Tools, Shop and Garage Equipment	↑	↑	↑
344	Laboratory Equipment	↑	↑	↑
345	Power Operated Equipment	↑	↑	↑
346	Communication Equipment	↑	↑	↑
347	Miscellaneous Equipment	↑	↑	↑
348	Other Tangible Plant	↑	↑	↑
	TOTALS	188,935	111,250	77,685

This amount goes on the Balance Sheet Acct. No 108

COMPANY NAME

PINE VALLEY WATER CO

CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization	4,298	COMPLETE	- 0 -
302	Franchises	7500	N/A	N/A
303	Land and Land Rights	11,994	N/A	N/A
304	Structures and Improvements	9,361	3.33	312
307	Wells and Springs	9,872	3.33	326
311	Pumping Equipment	50,995	12.50	6,374
320	Water Treatment Equipment	N/A	N/A	N/A
330	Distribution Reservoirs and Standpipes	14,857	5.0	743
331	Transmission and Distribution Mains	54,957	2.0	1,099
333	Services	20,669	3.33	
334	Meters and Meter Installations	6,454	8.33	538
335	Hydrants	4,759	2.0	95
336	Backflow Prevention Devices	N/A	N/A	N/A
339	Other Plant and Misc. Equipment	N/A	N/A	N/A
340	Office Furniture and Equipment	644	6.67	43
341	Transportation Equipment	↑	↑	↑
343	Tools, Shop and Garage Equipment	↑	↑	↑
344	Laboratory Equipment	↑	↑	↑
345	Power Operated Equipment	↑	↑	↑
346	Communication Equipment	↑	↑	↑
347	Miscellaneous Equipment	↑	↑	↑
348	Other Tangible Plant	↓	↓	↓
	TOTALS	188,935		10,218

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME

PINE VALLEY WATER CO

BALANCE SHEET

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	ASSETS		
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$ 2851	\$ 2363
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		1516
146	Notes/Receivables from Associated Companies	— 0 —	— 0 —
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 2851	\$ 3879
	FIXED ASSETS		
101	Utility Plant in Service	\$ 188935	\$ 188935
103	Property Held for Future Use	N/A	N/A
105	Construction Work in Progress	N/A	N/A
108	Accumulated Depreciation – Utility Plant	101,032	111,250
121	Non-Utility Property	N/A	N/A
122	Accumulated Depreciation – Non Utility	N/A	N/A
	TOTAL FIXED ASSETS	\$ 87,903	\$ 77,685
	TOTAL ASSETS	\$ 90,754	\$ 81,564

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

COMPANY NAME

PINE VALLEY WATER CO

BALANCE SHEET (CONTINUED)

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	LIABILITIES		
	CURRENT LIABILITIES		
231	Accounts Payable	\$ 7,153	\$ —
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits	345	422
236	Accrued Taxes	249	195
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	\$	\$
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$ N/A	\$ N/A
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	\$	\$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$	\$
	TOTAL LIABILITIES	\$	\$
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$ 1000	\$ 1000
211	Paid in Capital in Excess of Par Value	91,859	84,922
215	Retained Earnings	(9,506)	(4,553)
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$ 83,353	\$ 81,369
	TOTAL LIABILITIES AND CAPITAL	\$ 90,754	\$ 81,564

COMPANY NAME

PINE VALLEY WATER CO

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$ 54,700	\$ 62,163
460	Unmetered Water Revenue		
474	Other Water Revenues	320	648
	TOTAL REVENUES	\$ 55020	\$ 62811
	OPERATING EXPENSES		
601	Salaries and Wages	\$	\$
610	Purchased Water		
615	Purchased Power	5,630	6,288
618	Chemicals		
620	Repairs and Maintenance	8196	7903
621	Office Supplies and Expense		
630	Outside Services	29172	29172
635	Water Testing	933	431
641	Rents	5847	5847
650	Transportation Expenses		
657	Insurance - General Liability		
659	Insurance - Health and Life		
666	Regulatory Commission Expense - Rate Case	493	493
675	Miscellaneous Expense	1,018	350
403	Depreciation Expense	10,085	10,218
408	Taxes Other Than Income	197	
408.11	Property Taxes	2,516	2613
409	Income Tax	50	50
	TOTAL OPERATING EXPENSES	\$ 64,137	\$ 63,365
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/EXP	\$ — 0 —	\$ — 0 —
	NET INCOME/(LOSS)	\$ (9,117)	\$ 554

COMPANY NAME

Pine Valley Water Co

SUPPLEMENTAL FINANCIAL DATA

Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate				
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End

\$

Meter Deposits Refunded During the Test Year

\$

COMPANY NAME

PINE VALLEY WATER CO.

WATER COMPANY PLANT DESCRIPTION

WELLS

ADWR ID Number	Pump Horsepower	Pump Yield (Gpm)	Casing Size (inches)	Meter Size (inches)
55-627209	20	89	8"	3"

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)

TOTAL GALLONS PUMPED (NOT SOLD) THIS YEAR (thous.) = _____

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other
10 HP	1	5	1
7 1/2 HP	1		
5 HP	1		
1 1/2 HP	1		

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
		5000 gal	1

STATISTICAL INFORMATION

Total number of customers 131

Total number of gallons sold 13,282,946 gallons

COMPANY NAME PINE VALLEY WATER CO. YEAR ENDING 12/31/2000

WATER AND SEWER
UTILITIES ONLY

PROPERTY TAXES

Indicate the amount of actual property taxes paid during this reporting period (Calendar Year 2000)

\$ 2613⁴⁸

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled Checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain reasons below:

718 1/2 - 918-60-970-3
PINE VALLEY WATER CO.
480 RAINTREE ROAD
SEDONA, AZ 86351

91-279
1221

3135

DATE 2/28/00

PAY TO THE ORDER OF Yavapai Ross Jacobs \$ 1278¹³

Twelve Hundred - Seventy - Eight ¹³/₁₀₀ DOLLARS

BANK ONE.
Bank One, Arizona, NA
Village of Oak Creek Office (279)
Sedona, Arizona

MEMO 125674-125675

965104

Judy Mendivil

⑆ 1 2 2 1 0 0 0 2 4 ⑆ 3 1 3 5 ⑆ 2 3 8 0 ⑆ 3 9 2 2 ⑆ ⑆ 0 0 0 0 1 2 7 8 1 3 ⑆

PINE VALLEY WATER CO.
480 RAINTREE ROAD
SEDONA, AZ 86351

91-279
1221

3162

DATE 10/5/00

PAY TO THE ORDER OF Ross D Jacobs Yavapai County \$ 1335³⁵

Thirteen Hundred - Thirty - Five ³⁵/₁₀₀ DOLLARS

BANK ONE.
Bank One, Arizona, NA
Village of Oak Creek Office (279)
Sedona, Arizona

MEMO 128519-97529

Judy Mendivil

⑆ 1 2 2 1 0 0 0 2 4 ⑆ 3 1 6 2 ⑆ 2 3 8 0 ⑆ 3 9 2 2 ⑆ ⑆ 0 0 0 0 1 3 3 5 3 5 ⑆

TAX ROLL NUMBER
125674

PARCEL IDENTIFICATION
918-60-970

TAX AREA CODE
0970

$\frac{1}{2}$ p^o de 3113
 10/6/99
 1278 ¹³

8
 $\frac{1}{2}$ p^o de 3135
 2/26/00
 1278 ¹³

USE 5500

TAX ROLL NUMBER
128519

PARCEL IDENTIFICATION
918-60-970

TAX AREA CODE
0970

Pol 1/2 1335³⁵
10/5/00
Ch # 3162

1999-2000 TAX COMPARISON (4)

TEAR OFF ALONG DOTTED LINES

COMPANY NAME PINE VALLEY WATER CO. YEAR ENDING 12/31/2000

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported 7717
Estimated or Actual Federal Tax Liability - 0 -

State Taxable Income Reported 7717
Estimated or Actual State Tax Liability - 0 -

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances _____
Amount of Gross-Up Tax Collected _____
Total Grossed-Up Contributions/Advances _____

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

Judy Mandeville
SIGNATURE

4/13/01
DATE

JUDY MANDEVILLE
PRINTED NAME

PRESIDENT
TITLE

**VERIFICATION
AND
SWORN STATEMENT**
Intrastate Revenues Only

VERIFICATION

STATE OF AZ

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME)	<u>YAVAPAI</u>
NAME (OWNER OR OFFICIAL) TITLE	<u>JUDY & PETE MANDEVILLE PRES EXHCEP</u>
COMPANY NAME	<u>PINE VALLEY WATER CO</u>

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
<u>12</u>	<u>31</u>	<u>2000</u>

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2000 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)

\$ 66317.63

(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 3524.91
IN SALES TAXES BILLED, OR COLLECTED

****REVENUE REPORTED ON THIS PAGE MUST
INCLUDE SALES TAXES BILLED OR
COLLECTED. IF FOR ANY OTHER REASON,
THE REVENUE REPORTED ABOVE DOES NOT
AGREE WITH TOTAL OPERATING REVENUES
ELSEWHERE REPORTED, ATTACH THOSE
STATEMENTS THAT RECONCILE THE
DIFFERENCE. (EXPLAIN IN DETAIL)**

Judy Mandeville
SIGNATURE OF OWNER OR OFFICIAL

520 284 1819
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

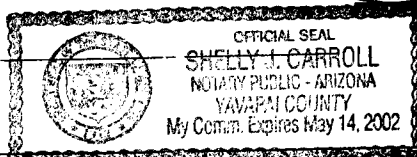
13TH

DAY OF

COUNTY NAME	<u>YAVAPAI</u>	
MONTH	<u>APRIL</u>	<u>2001</u>

(SEAL)

MY COMMISSION EXPIRES



Shelly J. Carroll
SIGNATURE OF NOTARY PUBLIC

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY**

VERIFICATION

**STATE OF ARIZONA
I, THE UNDERSIGNED
OF THE**

(COUNTY NAME) <u>YAVAPAI</u>	
NAME (OWNER OR OFFICIAL) <u>JUDY MANDEVILLE</u>	TITLE <u>PRESIDENT</u>
COMPANY NAME <u>PINE VALLEY WATER CO</u>	

**DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION
FOR THE YEAR ENDING**

MONTH	DAY	YEAR
12	31	2000

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2000 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES \$ <u>66317⁶³</u>

**(THE AMOUNT IN BOX AT LEFT
INCLUDES \$ 35242¹
IN SALES TAXES BILLED, OR COLLECTED**

***RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.**

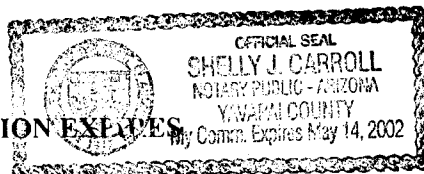
X Judy Mandeville
SIGNATURE OF OWNER OR OFFICIAL

**SUBSCRIBED AND SWORN TO BEFORE ME
A NOTARY PUBLIC IN AND FOR THE COUNTY OF
THIS 13TH DAY OF**

NOTARY PUBLIC NAME <u>Shelly J. Carroll</u>	
COUNTY NAME <u>YAVAPAI</u>	
MONTH <u>APRIL</u>	20 <u>01</u>

(SEAL)

MY COMMISSION EXPIRES



X Shelly J. Carroll
SIGNATURE OF NOTARY PUBLIC